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## Department of Health Research

Ministry of Health & Family Welfare, Government of India  
National Ethics Committee Registry for Biomedical and Health Research  
(NECRBHR)

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## Biomedical & Health Research

All biomedical and health research involving human participants should be conducted in accordance with the ICMR National Ethical Guidelines for Biomedical and Health Research Involving Human Participants, 2017.

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<https://naitik.gov.in/DHR/Homepage#>

A large number of biomedical and health research studies are being conducted across the country at various research centres/medical institutions/ universities etc. Ethics Committees (EC) are entrusted with the responsibility to undertake the ethical review of research proposals prior to initiation, and also have a continuing responsibility to regularly monitor the approved research to ensure ethical compliance during the conduct of research and to ensure that the rights, safety and well-being of research participants is protected. These Ethics committee reviewing biomedical and health research shall be constituted and are required to function in accordance with the ICMR National Ethical Guidelines for Biomedical and Health Research Involving Human Participants, 2017.





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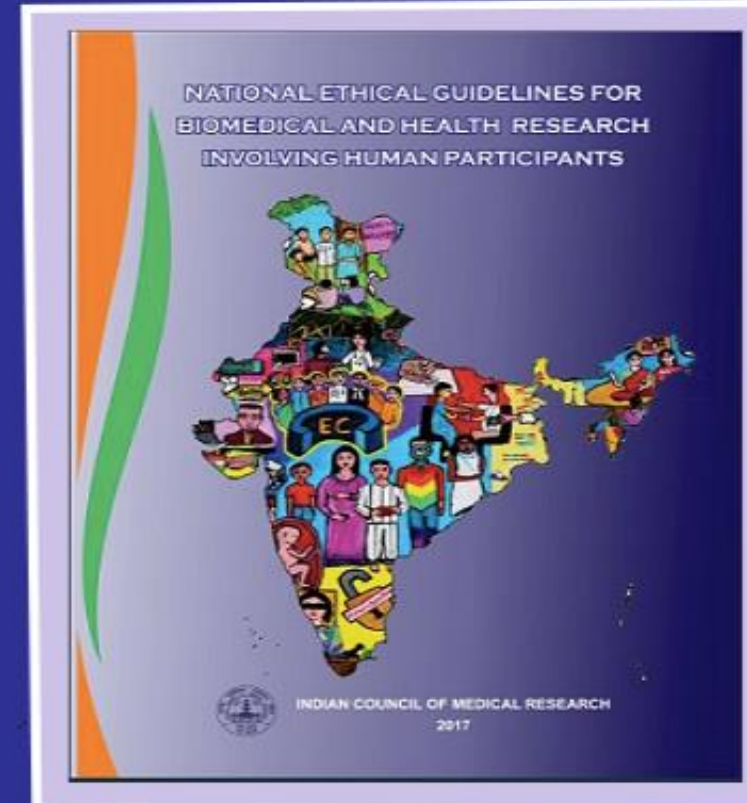
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## Ethics Committees

The Ethics Committee (EC) is responsible for scientific and ethical review of research proposals. Although ECs may obtain documentation from a prior scientific review, they must determine that the research methods are scientifically sound, and should examine the ethical implications of the chosen research design or strategy.

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## Ethics Committee's Members

The composition, affiliations, qualifications, member specific roles and responsibilities of an Ethics committee should be in accordance with ICMR National Ethical Guidelines for Biomedical and Health Research Involving Human Participants.

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## Downloads



S.No ⇅	Name ⇅	File ⇅
1.	Handbook on National Ethical Guidelines for Biomedical and Health Research Involving Human Participants	PDF
2.	Extracts of New Drugs and Clinical Trials Rules 2019 relevant to biomedical and health research	PDF
3.	Common forms for EC review	PDF
4.	Checklist for EC Registration for Biomedical and Health Research	PDF
5.	The New Drugs and Clinical Trials Rules, 2019	PDF
6.	Undertaking for Ethics Training	PDF



# <https://ethics.ncdirindia.org>



## ICMR Bioethics Unit



राष्ट्रीय रोगसूचना विज्ञान और अनुसंधान केंद्र  
भारतीय आयुर्विज्ञान अनुसंधान परिषद

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Propaganda for Nazi Germany's T-4 Euthanasia Program: "This person suffering from hereditary defects costs the community 60,000 Reichsmark during his lifetime. Fellow German, that is your money, too." from the Office of Racial Policy's Neues Volk.

- Indian GCP guidelines
- Declaration of Helsinki
- National Ethical Guidelines for Biomedical and Health Research Involving Human Participants (2017)
- Amendments to the Drugs & Cosmetics Act (1940), and Rules (1945)

- Drugs
- Vaccines
- Biosimilars, biologics
- Phytopharmaceuticals
- Public health or socio-behavioural interventions
- Devices
- Surgical techniques
- Traditional systems of medicine, etc



# PRE-REQUISITES FOR THE STUDY

- Investigational Pharmaceutical Product
- Pre-clinical supporting data
- Well defined research protocol
- Ethical and Safety Considerations
  - Submission
  - Decision

- Students - thesis, guides/and institutions should take the responsibilities of sponsor.
- Registration with CTRI - mandatory for clinical trials under the purview of CDSCO.
- Patients should not be charged
- Ancillary care
- Adverse effects of drugs should be reported in a timely manner.
- Clinical trials should be scientifically and ethically sound and preclinical studies should precede trials on humans.



(Annexure 8)  
**Application Form for Clinical Trials**

.....  
(Name of the Institution)

EC Ref. No. (For office use):

Title of study: .....

.....

.....

Principal Investigator (Name, Designation and Affiliation): .....

.....

.....

1. Type of clinical trial

Regulatory trial ☐

Academic trial



CTRI registration number: ..... NABH accreditation number:..... EC registration number:.....

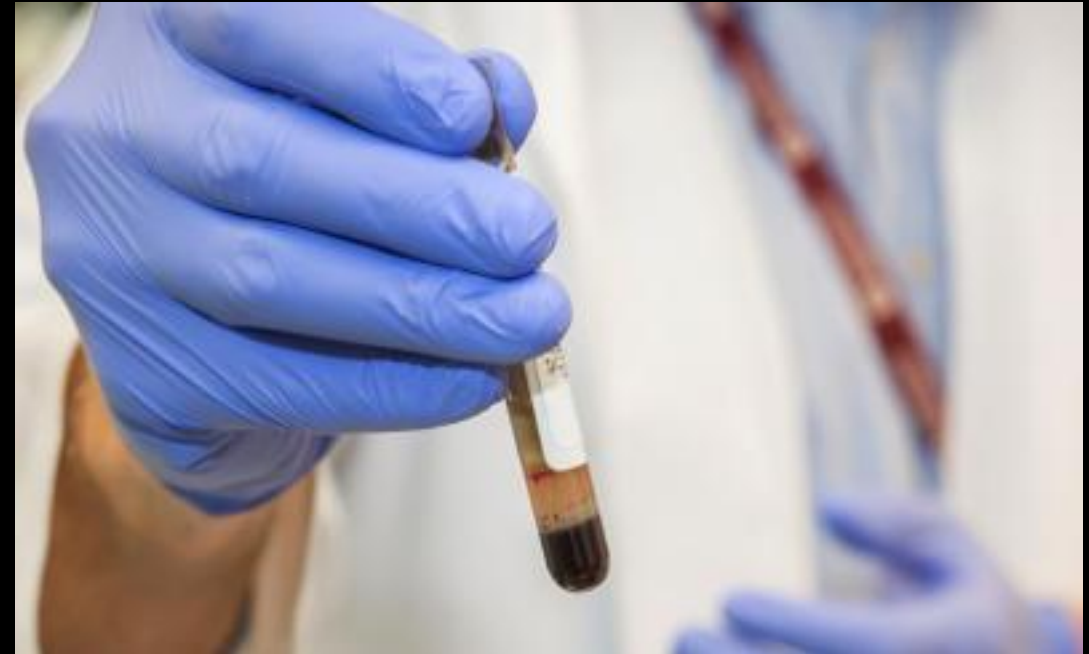
# Clinical Trials

Nature of trials

Permission for trials



- **Regulatory trials** - clinical evaluation of new drugs and medical devices
- **Academic clinical trial** - not funded by pharmaceutical or biotechnology company for commercial ends but by public-good agencies (usually universities or medical trusts) to advance medicine.



# http://ctri.nic.in/Clinicaltrials/login.php

## CLINICAL TRIALS REGISTRY - INDIA

ICMR - National Institute of Medical Statistics



[Home Page](#) | [Trial Search](#) | [Advanced Search](#) | [FAQs](#) | [Publications](#) | [Secretariat](#) | [Feedback](#) | [Disclaimer](#) | [Sitemap](#)

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### SIGN IN TO CTRI

Username

Password



[Forgot Password](#) | [New Applicant](#)

### Trial Registration Data Set

[Download:\[Pdf\]](#)

### Keyword Search

### News / Highlights

### New in CTRI

Health Condition of trial participants is now coded as per ICD-10 classification and must be chosen from the drop down list provided up to a maximum of 4 levels to the nearest disease category possible.

### E-Tutorial [click here](#)

Clinical trials hold enormous potential for benefiting patients, improving therapeutic regimens and ensuring advancement in medical practice that is evidence based. Unfortunately, the data and reports of various trials are often difficult to find and in some cases do not even exist as many trials abandoned or are not published due to "negative" or equivocal results. However, this tendency for availability of only selective information from the myriad clinical trials conducted is not commensurate with the practice of "evidence-based medicine". Today, world over, a need has been felt on the imperative for transparency, accountability and accessibility in order to re-establish



### Clinical Trials Registry-India (CTRI)

The Clinical Trials Registry- India (CTRI), hosted at the ICMR's National Institute of Medical Statistics (<http://icmr-nims.nic.in>), is a free and online public record system for registration of clinical trials being conducted in India that was launched on 20<sup>th</sup> July 2007 ([www.ctri.nic.in](http://www.ctri.nic.in)). Initiated as a voluntary measure, since 15<sup>th</sup> June 2009, trial registration in the CTRI has been made mandatory by the Drugs Controller General (India) (DCGI) ([www.cdco.nic.in](http://www.cdco.nic.in)). Moreover, Editors of Biomedical Journals of 11 major journals of India declared that only registered trials would be considered for publication<sup>1, 2</sup>. Today, any researcher who plans to conduct a trial involving human participants, of any intervention such as drugs, surgical procedures, preventive measures, lifestyle modifications, devices, educational or behavioral treatment, rehabilitation strategies as well as trials being conducted in the purview of the Department of AYUSH



<http://ctri.nic.in/Clinicaltrials/faq.php#1a>

CLINICAL TRIALS REGISTRY - INDIA  
ICMR - National Institute of Medical Statistics



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**SIGN IN TO CTRI**  
Username  
  
Password  
  
    
[Forgot Password](#) | [New Applicant](#)

**Trial Registration Data Set**  
**Download:[Pdf]**

**Keyword Search**  
  
  

**News / Highlights**

**PG Thesis Registration**

Trials being conducted as part of PG thesis should mention both student's as well as Guide's name and full official address, including department. Co-guide name may also be included, if desired). Names should be included in Contact Person details after mutual agreement on division of responsibilities. Verification is sought by email from all trial Contact Persons, except the trial Registrant.

18



2. If regulatory trial, provide status of CDSCO permission letter

Approved and letter attached ☐

Applied, under process ☐

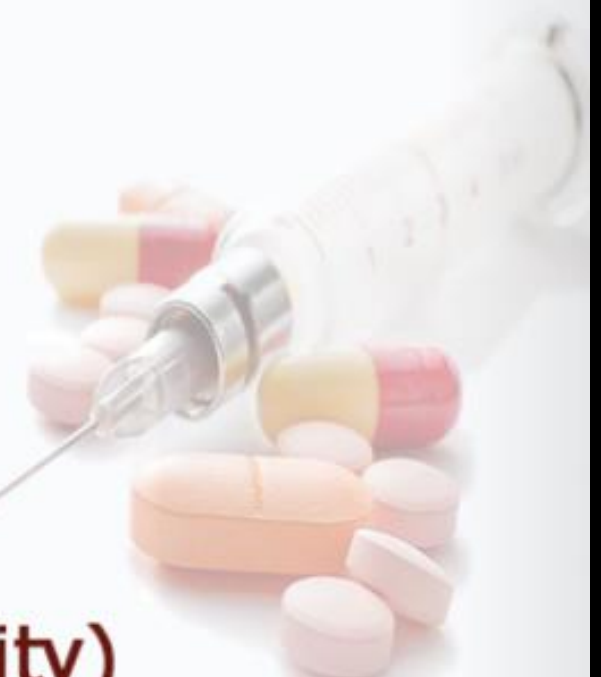
Not applied (State reason) ☐.....

<https://cdsco.gov.in/opencms/opencms/en/Home/>

The screenshot displays the official website of the Central Drugs Standard Control Organization (CDSCO), Government of India. The header includes the organization's name, logo, and contact information. A navigation menu is present with links to various sections like Home, About Us, BA/BE, Biologics, Clinical Trial, Cosmetics, DTAB-DCC, Drugs, International Cell, Medical Devices & Diagnostics, and Notifications. The main content area features a banner for the Drugs Controller General of India, Dr. V.G. Somani, with a photo and the CDSCO logo. The banner also includes the text 'For Medical devices which are under voluntary reg'.



**WHO** declares **CDSCO**  
as Functional  
**NRA** (National Regulatory Authority)



3. Tick all categories that apply to your trial

- |                                    |                          |   |                          |
|------------------------------------|--------------------------|---|--------------------------|
| Phase - I                          | <input type="checkbox"/> | Phase II                                | <input type="checkbox"/> |
| Phase III                          | <input type="checkbox"/> | Phase IV or Post Marketing Surveillance | <input type="checkbox"/> |
| Investigational medicinal products | <input type="checkbox"/> | Investigational New drug                | <input type="checkbox"/> |
| Medical devices                    | <input type="checkbox"/> | New innovative procedure                | <input type="checkbox"/> |
| Drug/device combination            | <input type="checkbox"/> | Bioavailability/Bioequivalence studies  | <input type="checkbox"/> |
| Non-drug intervention              | <input type="checkbox"/> | Repurposing an existing intervention    | <input type="checkbox"/> |
| Indian system of medicine (AYUSH)  | <input type="checkbox"/> | Stem cells                              | <input type="checkbox"/> |
| Phytopharmaceutical drug           | <input type="checkbox"/> | Approved drug for any new indication    |                          |
| Others (specify)                   | <input type="checkbox"/> | or new route of administration          | <input type="checkbox"/> |
- .....

Summary of clinical trial phases

Phase	Primary goal	Dose	Patient monitor	Typical number of participants	Success rate <sup>[2]</sup>	Notes
<b>Preclinical</b>	Testing of drug in non-human subjects to gather <b>efficacy</b> , <b>toxicity</b> and <b>pharmacokinetic</b> information	Unrestricted	Scientific researcher	No human subjects, <i>in vitro</i> and <i>in vivo</i> only		Includes testing in <b>model organisms</b> . Human <b>immortalized cell lines</b> and other human tissues may also be used.
<b>Phase 0</b>	<b>Pharmacokinetics</b> ; particularly oral bioavailability and half-life of the drug	Small, subtherapeutic	Clinical researcher	10 people		Often skipped for Phase I.
<b>Phase I</b>	<b>Dose-ranging</b> on healthy volunteers for safety	Often subtherapeutic, but with ascending doses	Clinical researcher	20–100 normal healthy volunteers (or cancer patients for cancer drugs)	Approx. 70%	Determines whether drug is safe to check for efficacy.
<b>Phase II</b>	Testing of drug on participants to assess efficacy and side effects	Therapeutic dose	Clinical researcher	100–300 participants with a specific disease	Approx. 33%	Determines whether drug can have any efficacy; at this point, the drug is not presumed to have any therapeutic effect
<b>Phase III</b>	Testing of drug on participants to assess efficacy, effectiveness and safety	Therapeutic dose	Clinical researcher and personal physician	300–3,000 people with a specific disease	25–30%	Determines a drug's therapeutic effect; at this point, the drug is presumed to have some effect
<b>Phase IV</b>	<b>Post marketing surveillance</b> in public	Therapeutic dose	Personal physician	Anyone seeking treatment from a physician	N/A	Monitor long-term effects



# Medical device

- Inert , does not achieve any of its principal intended purposes through chemical action
- Prosthodontic appliances (dentures, obturators, crowns and bridges)
- Orthodontic appliances
- Appliances that facilitate surgical procedures such as arch bars and wafers for orthognathic surgery





# Drug /device combination

- Where the components are physically, chemically or otherwise combined
- Eg - Photosensitizing drug and activating laser/light source
- Local drug delivery - scaffold + drug Eg - CHX chip

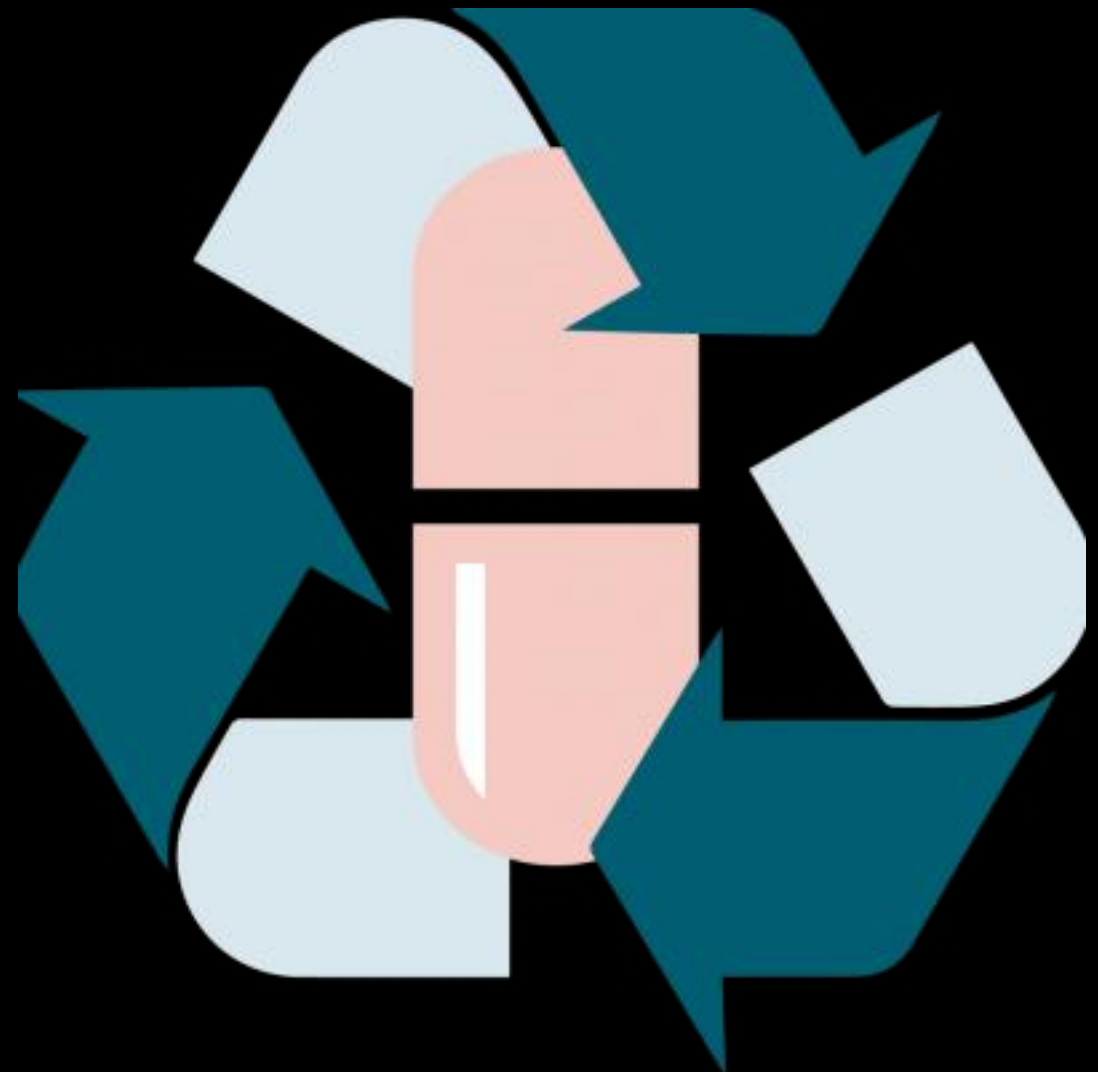


# Bioavailability / Bioequivalence

- Measuring active drug ingredient available at site of action
- Healthy volunteers
- Safety and efficacy of drug dose



- **Non-drug intervention**
  - Supportive Therapies
  - Physical and occupational therapy
- **Repurposing an existing intervention**
  - New therapeutic use(s) for old/existing/available drugs





- Indian system of medicine (AYUSH)  
- Ayurveda, Yoga and Naturopathy, Unani, Siddha and Homeopathy



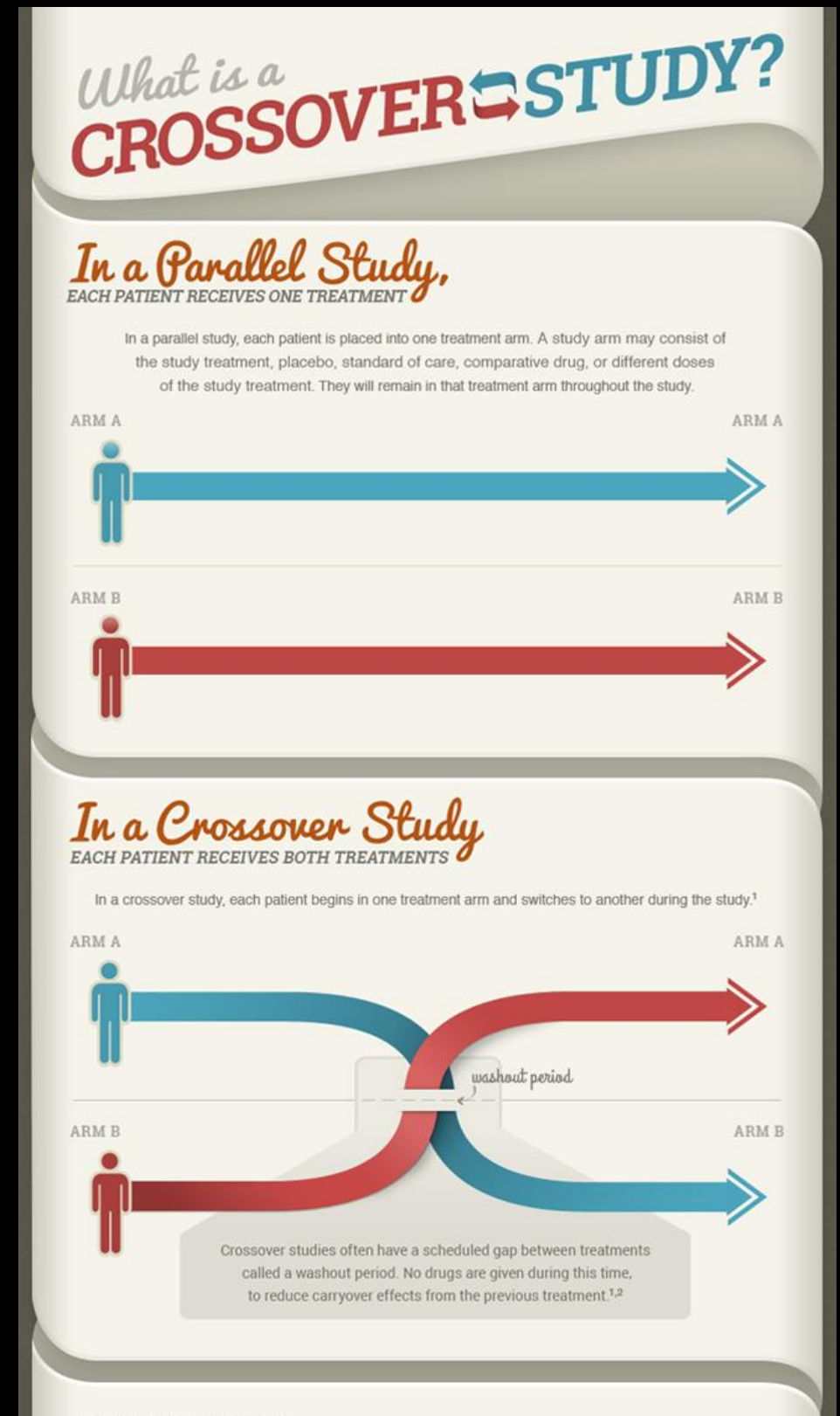
- Phytopharmaceutical drug - extract of a medicinal plant or its part





# Trial design

- Randomized clinical trial
- Non Randomised Clinical trial
- Parallel clinical trial
- Crossover

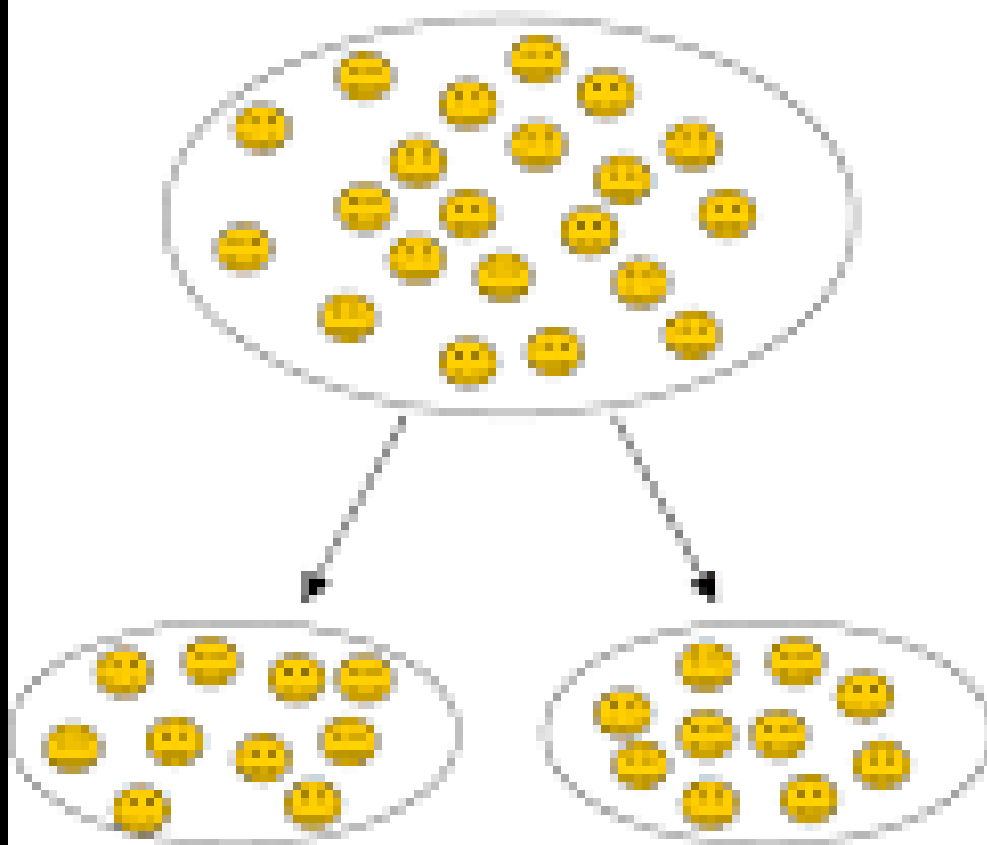




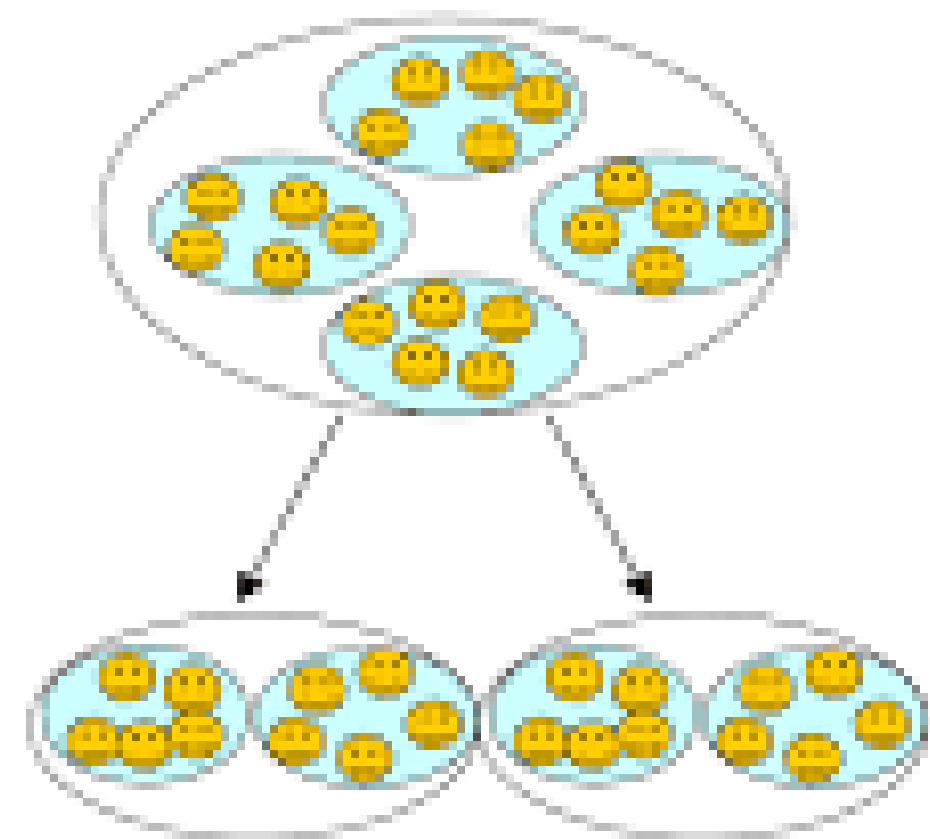
# Cluster Randomized Trials

... are clinical trials (experiments) in which social units or **clusters of individuals** rather than **independent individuals** are **randomly allocated** to intervention groups.

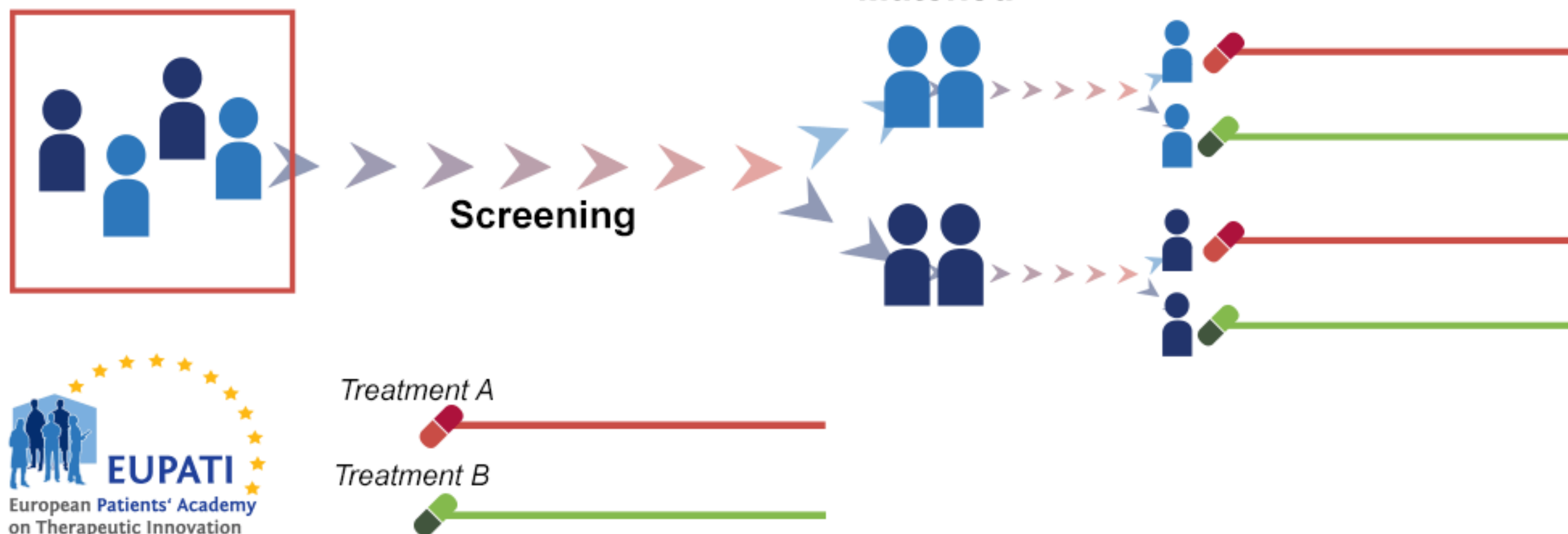
Individual randomization



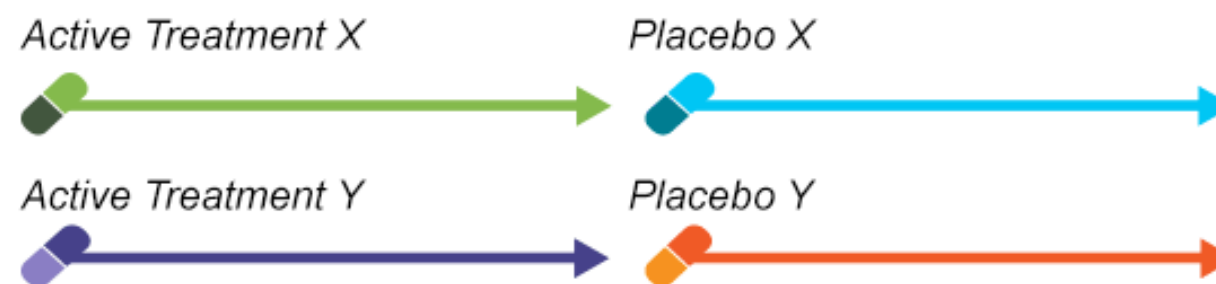
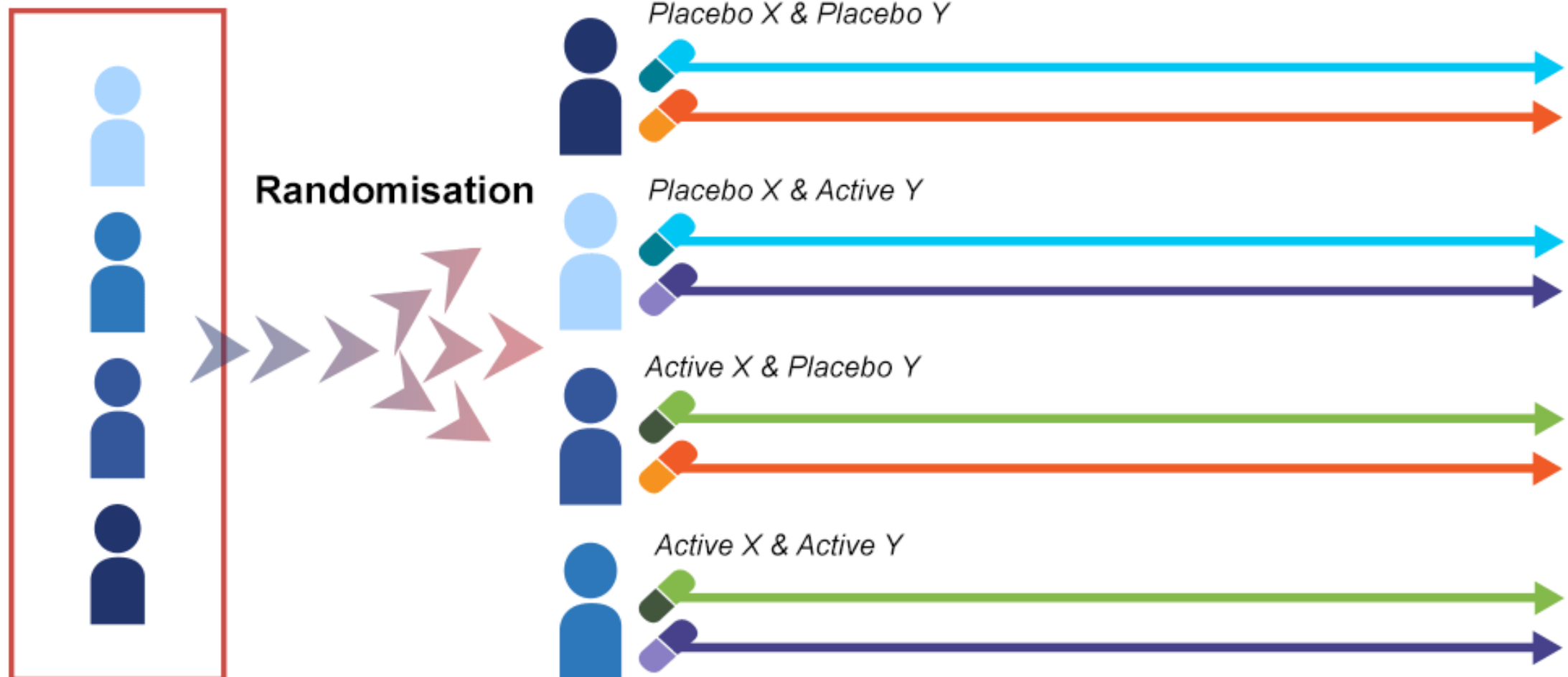
Cluster randomization



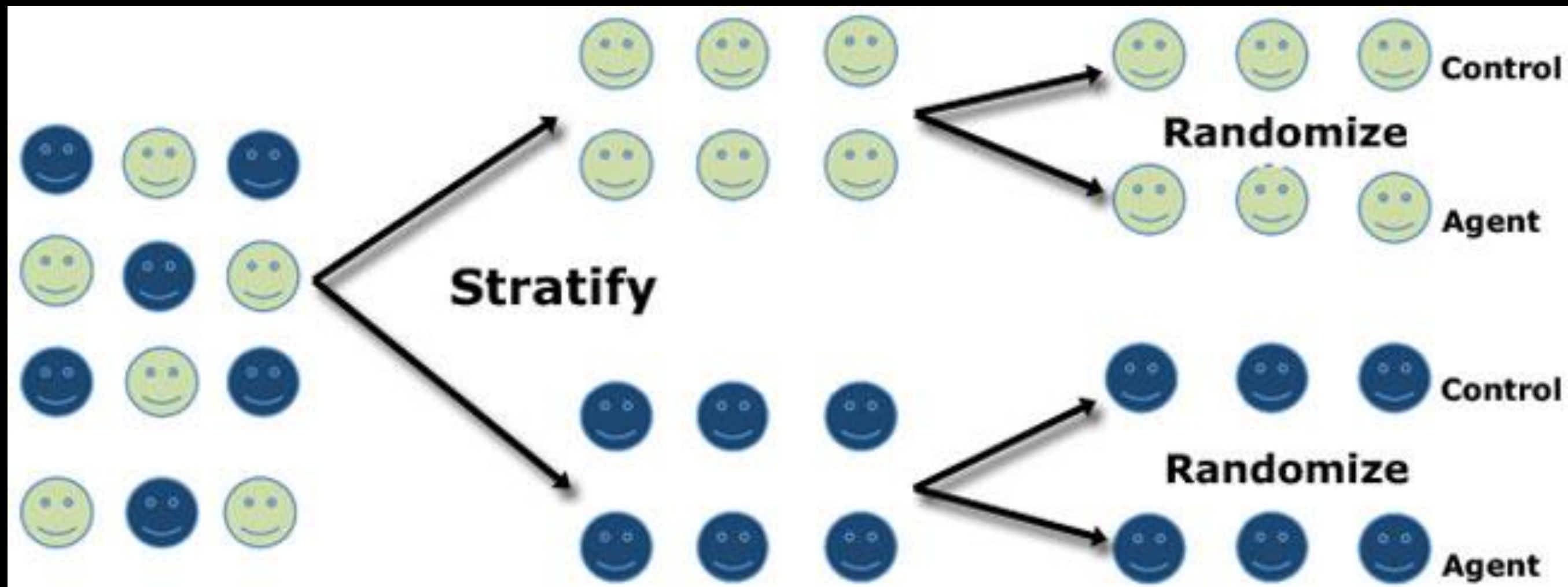
# Matched Pair Trial



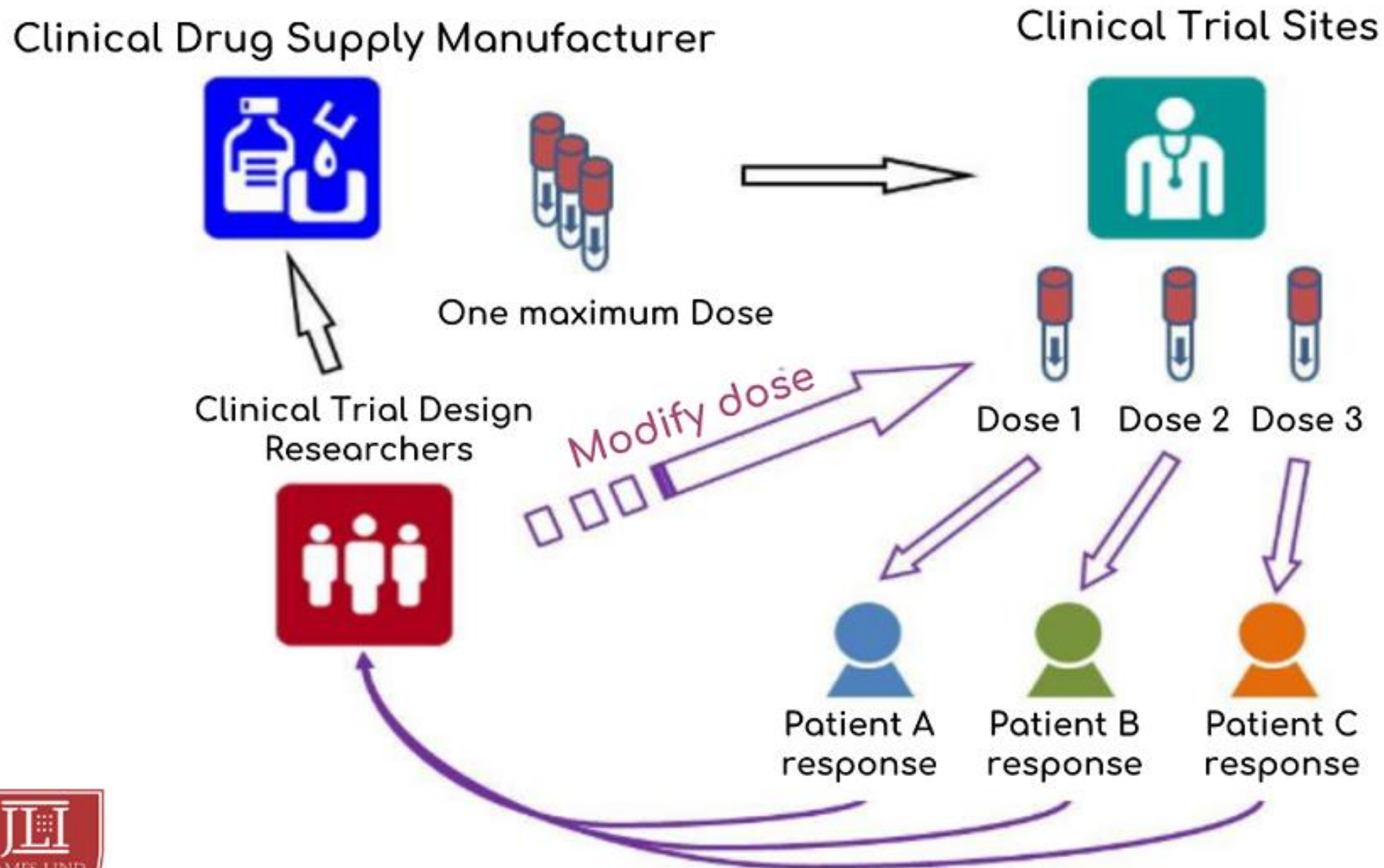
# 2x2 Factorial design



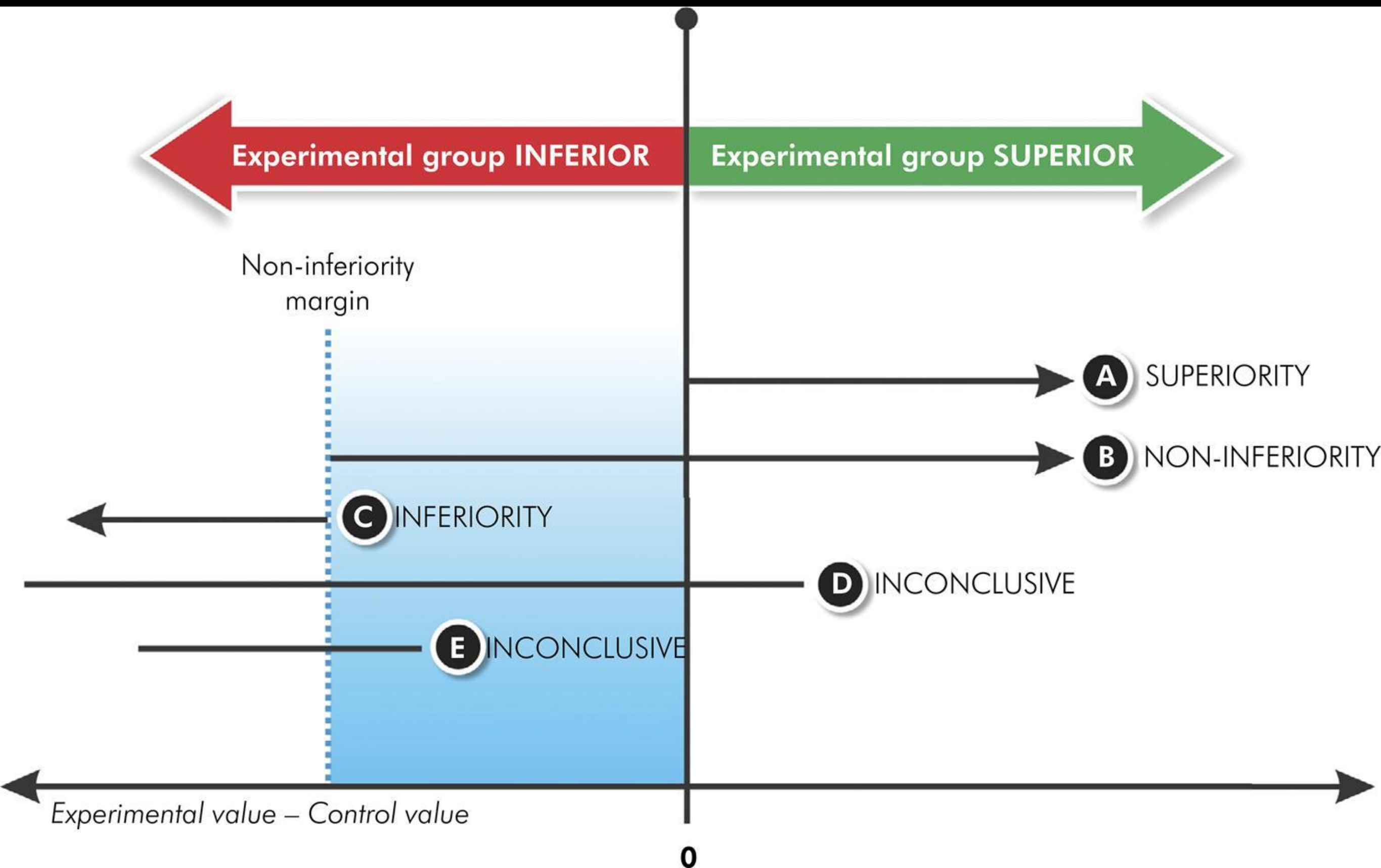
# Stratified Clinical Trials



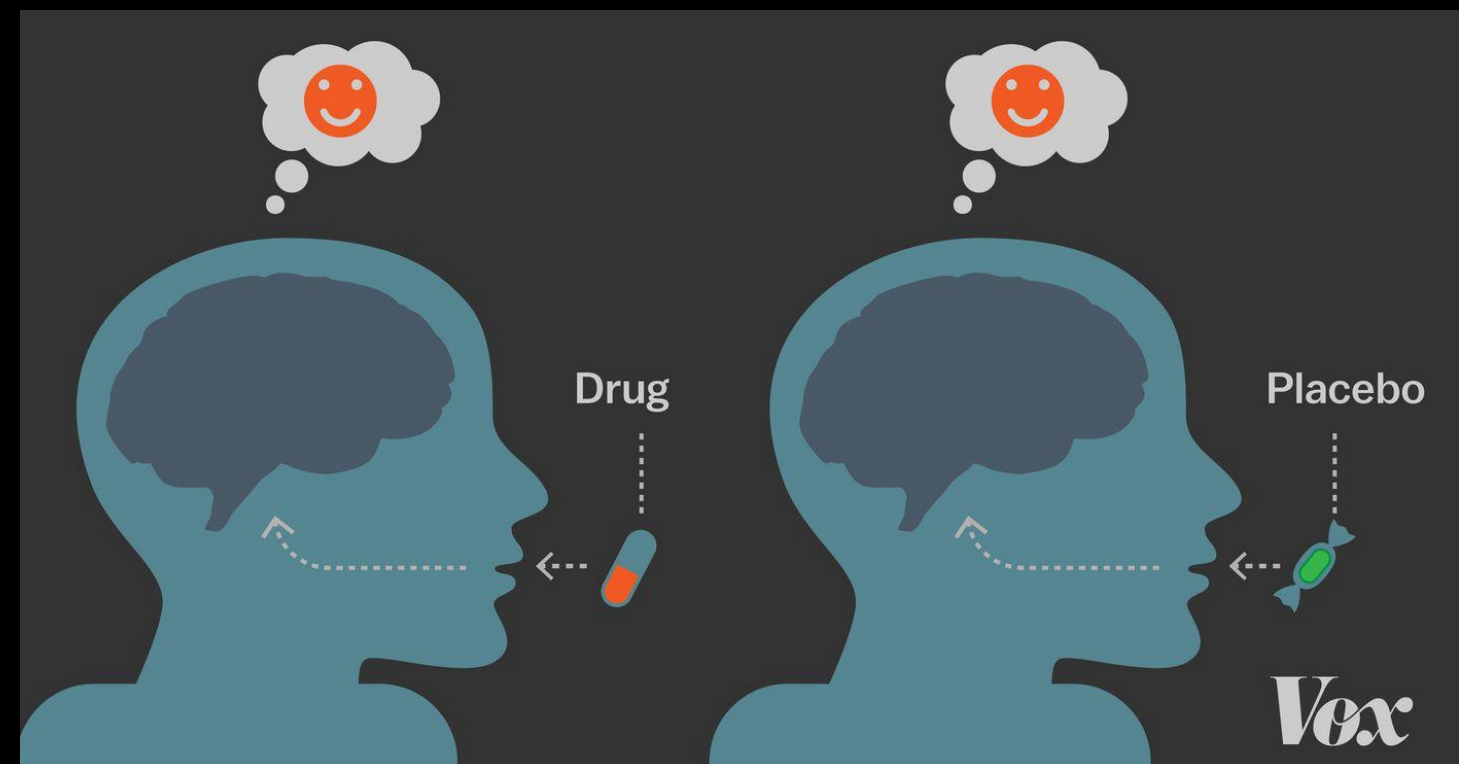
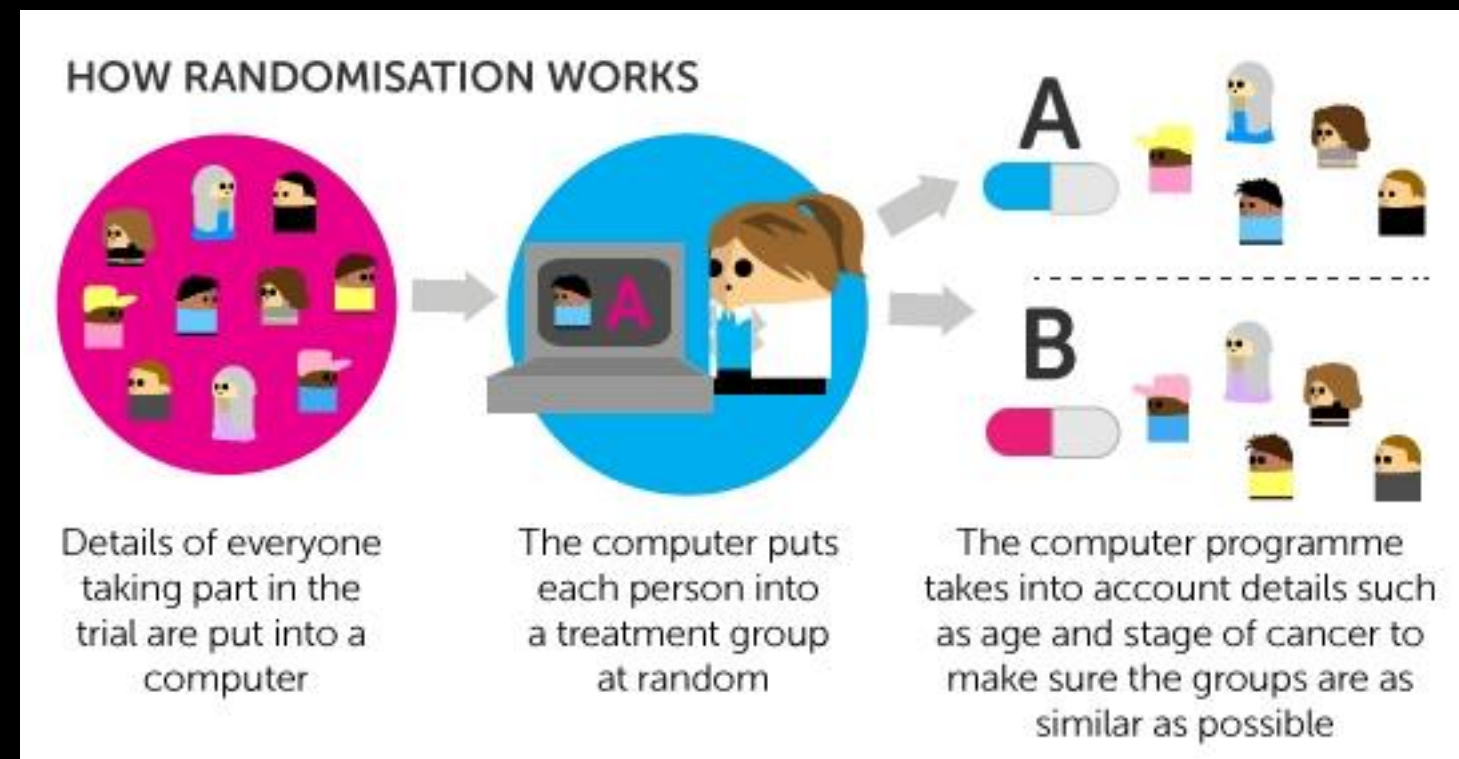
# Adaptive Design







- Randomisation
- Allocation concealment
- Adverse events
- Serious adverse events
- Use of placebo





(Annexure 9)  
**Serious Adverse Event Reporting Format (Clinical trials)**

.....  
*(Name of the Institution)*

**EC Ref. No.** *(For office use):*

12. Seriousness of the SAE:

- |                                      |                          |                                  |                          |
|--------------------------------------|--------------------------|----------------------------------|--------------------------|
| Death                                | <input type="checkbox"/> | Congenital anomaly               | <input type="checkbox"/> |
| Life threatening                     | <input type="checkbox"/> | Required intervention to prevent |                          |
| Hospitalization-initial or prolonged | <input type="checkbox"/> | permanent impairment / damage    | <input type="checkbox"/> |
| Disability                           | <input type="checkbox"/> | Others <i>(specify)</i>          | <input type="checkbox"/> |

14. Outcome of SAE:

- |            |                          |                        |                          |
|------------|--------------------------|------------------------|--------------------------|
| Fatal      | <input type="checkbox"/> | Recovered              | <input type="checkbox"/> |
| Continuing | <input type="checkbox"/> | Unknown                | <input type="checkbox"/> |
| Recovering | <input type="checkbox"/> | Other <i>(specify)</i> | <input type="checkbox"/> |

## Project Submission / Research Protocol Overview

	A	B
1	<b>Name/Names of all Investigator / Investigator (Candidate/student)</b> (underline principle investigator)	
2	<b>Name of Institution and Address</b>	
3	<b>Course of the study and subject:</b>	
4	<b>Date of admission of the course</b>	
5	<b>Title</b>	
6	<b>Need for the study /Introduction / background</b> Give the background, including human or animal research relevant to the design of the proposed study. When new techniques or procedure are to be used, provide a description of preliminary work. When an investigation drug is to be used, animal data and phase I or II data on the drug should be included. A summary of how the study may help in the future should be included in the protocol.	



<p><b>How is it intended the results of the study will be reported and disseminated?</b></p>	<ul style="list-style-type: none"> <li>- Peer reviewed scientific journals</li> <li>- Other publication</li> <li>- Conference presentation</li> <li>- Internal report</li> <li>- Submission to regulatory authorities</li> <li>- Access to raw data and right to publish freely by all the investigators in study or by independent steering committee on behalf of all investigators</li> <li>- Other .....</li> </ul>
<p><b>REFERENCES</b></p>	

**JANUARY 8TH 2021 - LAST DATE TO  
RECEIVE DOCUMENTS**

**SCIENTIFIC REVIEW**

**JANUARY 13TH 2021 - INSTITUTIONAL  
REVIEW BOARD MEETING**

**JANUARY 20TH (tentative) 2021 - ETHICAL  
MEETING / APPROVAL**

**JANUARY 31ST 2021 - SYNOPSIS  
SUBMISSION TO RGUHS**

**Thank you**

**For details - Contact**  
**[ethicalcommittee@kcdsh.org](mailto:ethicalcommittee@kcdsh.org)**